



Business Assurance Committee (BAC)

Meeting date: 3 June 2013

Agenda item no.: 7.5

Contact person: Rob Lejsek

Title: Completed Audit recommendations – Status report

Purpose: To provide BAC with an update on the review of the completed audit recommendations from 2003-04 to 2010-11.

Previous BAC Consideration: An agenda paper on outstanding audit recommendations from 2003-04 was provided at the 14 March 2013 BAC meeting. A plan to review and finalise those recommendations was agreed at that meeting as follows:

1. Analyse the recommendations and the responses to identify those that have the most significant impact on the ongoing functions of the AEC and particularly the conduct of the federal election;
2. Develop a plan in cooperation with the AEC Senior Executive to follow-up on the implementation of these significant audit recommendations; and
3. To undertake a follow-up review of the implementation of the significant audit recommendations.

Issues:

The results of the above review are as follows:

1. There were 59 recommendations identified as having the most significant impact on the ongoing functions of the AEC and particularly the conduct of the federal election.
2. Follow-up discussions were held with the Deputy Electoral Commissioner (DEC) who reviewed the information provided by those responsible for those 59 recommendations. Of these 59, the DEC advised that there was sufficient information for 29 of those audit recommendations which warranted them now to be closed off Refer Attachment A marked in purple.
3. Further follow-up of the remaining significant 30 audit recommendations was undertaken, and the responses are at Attachment A marked in red.
4. The DEC reviewed the responses to these 30 audit recommendations and advised that 28 of these could be now closed.
5. The remaining two, which are from 2006-07 Recs Nos 20/4 and 20/5 are still in final stages of progress. BAC will be advised out of session of its completion status once certain aspects are resolved. Those two audit recommendations are highlighted in yellow.

Recommendation:

That you

1. note the 5 issues outlined above;
2. note the information provided in the Attachment A;
3. agree that the 57 of the outstanding audit recommendations can now be closed; and

4. note that further advice on the status of the remaining two audit recommendations will be provided to BAC out of session.

Cleared by:

TOM ROGERS

Deputy Electoral Commissioner

[Signature redacted.]

(Signature)

S
i
g
n
a
t
u
r
e
r
e
d
a
c
t
e
d
.

28/12/20

Date